

REDEFINING MOBILITY



## Non-RCE3: Implicit derivation for adaptively turning filtering off in intra prediction

JCTVC-D0181

Jewon Kang, Rajan Joshi, Joel Sole, and Marta Karczewicz

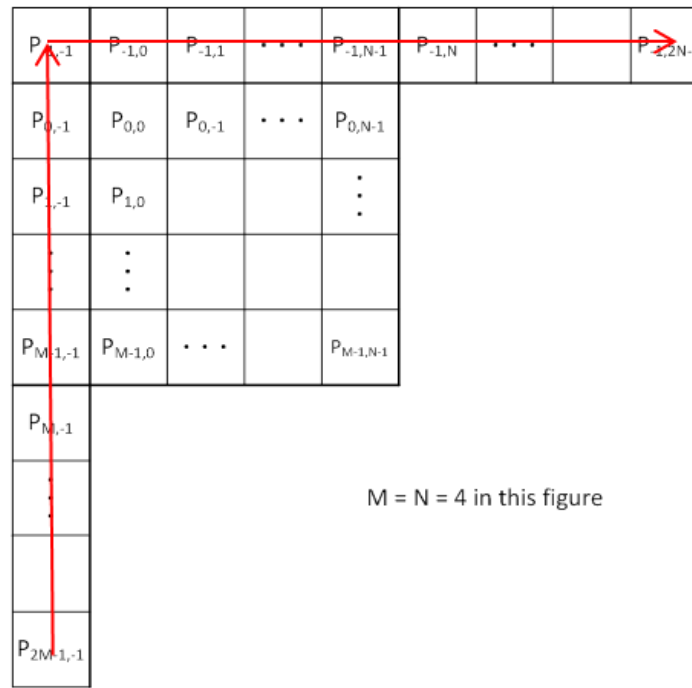
# Introduction

- Screen content and non-camera generated videos contain sharp edges
  - Filters used in HEVC intra prediction may be undesirable
- RCE3 A.3 proposes the use of nearest-neighbor interpolation instead of bilinear interpolation of intra reference samples
- Proposal
  - Extend the concept to all other filters used in angular intra prediction modes
    - MDIS, gradient filtering, strong filtering for  $32 \times 32$  blocks
  - Two implicit derivation methods to turn filtering off for a TU for angular intra prediction modes

# Method 1

## ■ Filtering is disabled when

- The transform skip (or transquant bypass) flag 1 AND
- $[1, -2, 1]$  FIR filter applied to the reference samples provides a filtered value greater than a threshold (dependent on bit-depth).



# Method 2

- Filtering is disabled when
  - The transform skip flag is 1
- Not applied to lossless coding
  - Since all blocks are coded in transquant bypass mode, the transquant bypass flag is not a good decision criterion.

# Results Method 1 (Lossy – All Intra) (4×4 blocks)

	All Intra HE Main-tier			All Intra HE High-tier			All Intra HE Super-High-tier		
	Y	U	V	Y	U	V	Y	U	V
Class F	-0.5%	-0.4%	-0.5%	-0.5%	-0.4%	-0.4%	-0.5%	-0.4%	-0.5%
Class B	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC RGB 444	-1.4%	-1.0%	-1.1%	-1.5%	-1.1%	-1.1%	-1.5%	-1.1%	-1.1%
Animation RGB 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC YUV 444	-1.0%	-0.4%	-0.4%	-0.9%	-0.4%	-0.5%	-0.9%	-0.4%	-0.5%
Animation YUV 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
RangeExt	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC(444) GBR Optional	-3.9%	-3.6%	-3.5%	-4.3%	-3.8%	-3.7%	-4.4%	-3.8%	-3.8%
SC(444) YUV Optional	-2.7%	-2.0%	-1.8%	-2.8%	-2.0%	-1.9%	-2.8%	-2.2%	-2.2%
Enc Time[%]	100%			101%			100%		
Dec Time[%]	100%			100%			101%		

# Results Method 1 (Lossy – RA and LB) (4×4 blocks)

	Random Access HE Main-tier			Random Access HE High-tier		
	Y	U	V	Y	U	V
Class F	-0.4%	-0.2%	-0.2%	-0.3%	-0.2%	-0.1%
Class B	0.0%	0.1%	-0.1%	0.0%	0.0%	0.0%
SC RGB 444	-1.2%	-0.9%	-1.0%	-1.4%	-1.1%	-1.1%
Animation RGB 444	0.1%	0.1%	0.1%	0.0%	0.0%	0.0%
SC YUV 444	-0.9%	-0.4%	-0.4%	-0.8%	-0.4%	-0.3%
Animation YUV 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
RangeExt	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC(444) GBR Optional	-3.5%	-3.0%	-2.9%	-3.9%	-3.4%	-3.2%
SC(444) YUV Optional	-2.4%	-1.4%	-1.5%	-2.7%	-1.8%	-1.6%
Enc Time[%]	100%			100%		
Dec Time[%]	100%			100%		
	Low delay B HE Main-tier			Low delay B HE High-tier		
	Y	U	V	Y	U	V
Class F	-0.2%	-0.1%	-0.7%	-0.2%	-0.2%	-0.4%
Class B	0.0%	0.0%	0.3%	0.0%	0.0%	0.2%
SC RGB 444	-0.7%	-0.5%	-0.5%	-1.2%	-0.8%	-0.8%
Animation RGB 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC YUV 444	-0.8%	-0.4%	-0.5%	-0.8%	-0.2%	-0.5%
Animation YUV 444	0.0%	0.1%	0.0%	0.0%	0.0%	-0.1%
RangeExt	0.0%	0.1%	-0.1%	0.0%	0.0%	-0.1%
SC(444) GBR Optional	-4.0%	-3.4%	-3.5%	-4.0%	-3.3%	-3.3%
SC(444) YUV Optional	-3.7%	-2.8%	-3.0%	-3.6%	-2.6%	-2.9%
Enc Time[%]	98%			98%		
Dec Time[%]	101%			101%		

# Results Method 1 (Lossless – All Intra) (4×4 blocks)

	AI Main											
	compression ratio (Total)		compression ratio (Average)		compression ratio (min)		compression ratio (max)		Bit-rate saving (Total)	Bit-rate saving (Average)	Bit-rate saving (Min)	Bit-rate saving (Max)
	Ref.	Tested	Ref.	Tested	Ref.	Tested	Ref.	Tested				
Class F	4.57	4.58	5.57	5.58	2.27	2.26	11.14	11.14	0.1%	0.1%	-0.1%	0.4%
Class B	2.24	2.24	2.26	2.26	2.08	2.08	2.44	2.44	0.0%	0.0%	0.0%	0.0%
SC RGB 444	7.88	7.94	9.5	9.66	5.23	5.25	14.73	15.29	0.7%	1.1%	-0.1%	3.6%
Animation RGB 444	2.48	2.48	2.5	2.51	2.15	2.15	3.05	3.05	0.0%	0.0%	0.0%	0.0%
SC YUV 444	11.09	11.12	13.2	13.28	7.87	7.88	19.32	19.59	0.3%	0.4%	-0.1%	1.4%
Animation YUV 444	3.00	3.00	3.2	3.16	2.57	2.57	3.93	3.93	0.0%	0.0%	0.0%	0.0%
RangeExt	1.92	1.92	2.38	2.38	1.46	1.46	4.37	4.37	0.0%	0.0%	-0.1%	0.0%
SC GBR 444 Optional	20.30	21.75	24.82	25.96	9.69	10.72	36.10	37.54	6.7%	5.5%	3.1%	9.6%
SC YUV 444 Optional	31.85	32.98	34.39	35.37	22.30	23.89	51.97	52.83	3.4%	3.3%	1.6%	6.7%
Enc Time[%]									100%			
Dec Time[%]									101%			

## Results Method 1 (Lossless – RA and LB) (4×4 blocks)

RA Main											
compression ratio (Total)		compression ratio (Average)		compression ratio (min)		compression ratio (max)		Bit-rate saving (Total)	Bit-rate saving (Average)	Bit-rate saving (Min)	Bit-rate saving (Max)
Ref.	Tested	Ref.	Tested	Ref.	Tested	Ref.	Tested				
8.66	8.66	36.22	36.24	3.01	3.01	90.48	90.61	0.0%	0.0%	-0.2%	0.1%
2.60	2.60	2.60	2.60	2.57	2.57	2.64	2.64	0.0%	0.0%	0.0%	0.0%
53.71	53.83	117.01	118.68	19.48	19.48	225.68	227.40	0.2%	0.8%	0.0%	3.7%
3.58	3.58	3.60	3.60	3.43	3.43	3.70	3.70	0.0%	0.0%	0.0%	0.0%
73.79	73.91	157.08	157.94	24.42	24.42	287.13	291.12	0.2%	0.4%	0.0%	1.4%
3.8	3.84	4.48	4.48	2.75	2.75	5.70	5.70	0.0%	0.0%	0.0%	0.0%
2.1	2.1	2.5	2.5	1.5	1.53	4.41	4.41	0.0%	0.0%	0.0%	0.0%
42.0	45.7	254.1	262.9	11.5	12.52	395.52	408.09	8.1%	5.0%	3.1%	8.5%
101.1	107.4	314.9	320.4	30.5	32.66	531.53	540.07	5.9%	3.3%	1.5%	6.8%
99%											
101%											
LB Main											
compression ratio (Total)		compression ratio (Average)		compression ratio (min)		compression ratio (max)		Bit-rate saving (Total)	Bit-rate saving (Average)	Bit-rate saving (Min)	Bit-rate saving (Max)
Ref.	Tested	Ref.	Tested	Ref.	Tested	Ref.	Tested				
8.9	8.9	57.8	57.8	3.0	3.0	169.5	169.5	0.0%	0.0%	0.0%	0.0%
2.6	2.6	2.6	2.6	2.6	2.6	2.6	2.6	0.0%	0.0%	0.0%	0.0%
62.2	62.3	355.6	365.0	20.5	20.5	1618.0	1679.6	0.1%	0.7%	0.0%	3.7%
3.6	3.6	3.6	3.6	3.4	3.4	3.7	3.7	0.0%	0.0%	0.0%	0.0%
85.1	85.1	469.0	473.4	25.7	25.6	2105.0	2135.0	0.0%	0.2%	-0.2%	1.4%
4	3.9	4.5	4.5	2.8	2.8	5.8	5.8	0.0%	0.0%	0.0%	0.0%
2.1	2.1	2.5	2.5	1.5	1.5	4.4	4.4	0.0%	0.0%	0.0%	0.0%
45	49.0	1010.8	1039.1	11.6	12.7	2253.7	2320.5	8.1%	4.4%	2.2%	8.3%
117.1	124.9	1139.4	1125.8	31.0	33.2	2208.6	2147.3	6.2%	1.8%	-2.9%	6.6%
98%											
98%											



# Results Method 2 (Lossy – All Intra) (4×4 blocks)

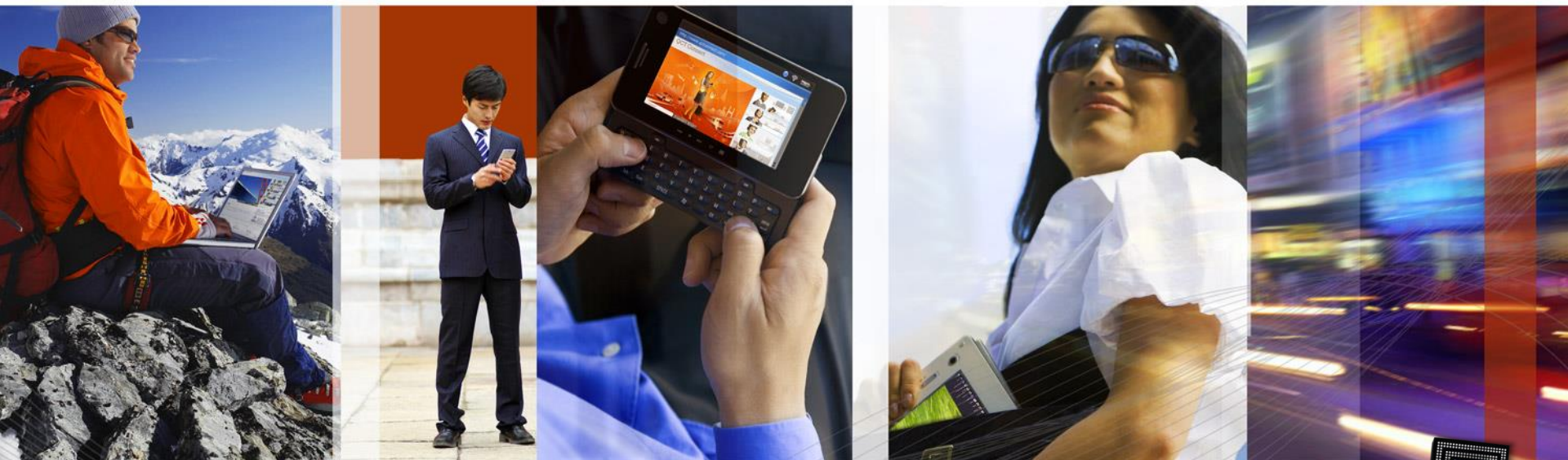
	All Intra HE Main-tier			All Intra HE High-tier			All Intra HE Super-High-tier		
	Y	U	V	Y	U	V	Y	U	V
Class F	-0.6%	-0.5%	-0.5%	-0.6%	-0.5%	-0.5%	-0.5%	-0.5%	-0.5%
Class B	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	-0.1%	-0.1%
SC RGB 444	-1.5%	-1.1%	-1.2%	-1.6%	-1.2%	-1.2%	-1.7%	-1.2%	-1.2%
Animation RGB 444	0.1%	0.0%	0.0%	0.2%	0.0%	0.0%	0.2%	0.0%	0.0%
SC YUV 444	-1.2%	-0.7%	-0.7%	-1.2%	-0.8%	-0.8%	-1.3%	-0.9%	-1.0%
Animation YUV 444	0.1%	0.0%	0.0%	0.1%	0.0%	0.1%	0.1%	0.1%	0.1%
RangeExt	0.1%	0.0%	0.0%	0.1%	0.0%	0.0%	0.1%	-0.1%	0.0%
SC(444) GBR Optional	-4.2%	-3.8%	-3.6%	-4.7%	-4.1%	-3.9%	-5.0%	-4.2%	-4.1%
SC(444) YUV Optional	-3.7%	-3.0%	-2.8%	-4.1%	-3.6%	-3.3%	-4.4%	-4.1%	-3.9%
Enc Time[%]	99%			99%			98%		
Dec Time[%]	99%			99%			99%		

# Results Method 1 (Lossy – RA and LB) (4×4 blocks)

	Random Access HE Main-tier			Random Access HE High-tier		
	Y	U	V	Y	U	V
Class F	-0.3%	-0.2%	-0.1%	-0.3%	-0.2%	-0.1%
Class B	0.0%	0.0%	0.0%	0.0%	0.0%	-0.1%
SC RGB 444	-1.2%	-0.9%	-1.0%	-1.5%	-1.1%	-1.1%
Animation RGB 444	0.1%	0.0%	0.1%	0.1%	0.0%	0.0%
SC YUV 444	-0.9%	-0.6%	-0.6%	-1.1%	-0.7%	-0.7%
Animation YUV 444	0.0%	-0.1%	0.0%	0.0%	-0.1%	0.0%
RangeExt	0.0%	-0.1%	-0.1%	0.0%	0.0%	-0.1%
SC(444) GBR Optional	-3.5%	-3.0%	-2.9%	-4.2%	-3.5%	-3.3%
SC(444) YUV Optional	-3.4%	-2.5%	-2.5%	-3.8%	-3.0%	-2.8%
Enc Time[%]	96%			96%		
Dec Time[%]	101%			101%		
	Low delay B HE Main-tier			Low delay B HE High-tier		
	Y	U	V	Y	U	V
Class F	-0.2%	0.1%	0.0%	-0.1%	0.0%	0.0%
Class B	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%
SC RGB 444	-1.0%	-0.7%	-0.8%	-1.2%	-0.8%	-0.9%
Animation RGB 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
SC YUV 444	-0.9%	-0.5%	-0.7%	-0.7%	-0.3%	-0.3%
Animation YUV 444	0.0%	0.1%	0.1%	0.0%	0.0%	0.0%
RangeExt	0.1%	0.0%	-0.1%	0.0%	0.0%	0.0%
SC(444) GBR Optional	-3.8%	-3.2%	-3.3%	-4.0%	-3.1%	-3.3%
SC(444) YUV Optional	-3.0%	-2.0%	-2.3%	-3.3%	-2.3%	-2.6%
Enc Time[%]	100%			100%		
Dec Time[%]	100%			100%		

# Conclusions

- Implicit derivation methods to switch off filters are proposed.
  - All filtering (MDIS, Interpolation of reference samples, gradient filtering, strong filtering for  $32 \times 32$  blocks) is disabled based on a decision criterion.
- Method 1: Filtering is disabled based on transform skip (or transquant bypass) flag and intra reference samples
  - Decision complexity comparable to performing MDIS
- Method 2: Filtering is disabled based on transform skip flag
  - Simple decision criterion



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# RCE3 A.3 results

## ■ Implicit derivation (4×4 blocks)

		AI-MT	AI-HT	AI-SHT	RA-MT	RA-HT	LB-MT	LB-HT
	Class F	-0.2%	-0.2%	-0.2%	-0.2%	-0.2%	0.0%	-0.1%
	Class B	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
A.3.4 (Samsung)	SC RGB 444	-0.6%	-0.6%	-0.6%	-0.7%	-0.8%	-0.1%	-0.4%
NN Interpolation	Animation RGB 444	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Lossy	SC YUV 444	-0.5%	-0.5%	-0.4%	-0.3%	-0.3%	-0.4%	-0.3%
Threshold Based	Animation YUV 444	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Only 4x4	RangeExt	0.1%	0.1%	0.1%	0.2%	0.1%	0.1%	0.1%
	SC(444) GBR Optional	-4.5%	-4.3%	-4.3%	-4.2%	-4.3%	-3.9%	-4.4%
	SC(444) YUV Optional	-2.3%	-2.2%	-2.0%	-2.5%	-2.5%	-3.5%	-2.9%
	Enc Time[%]	101%	101%	101%	101%	101%	101%	101%
	Dec Time[%]	102%	102%	102%	101%	102%	101%	101%

# RCE3 A.3 results

## ■ Explicit (4×4 blocks)

		AI-MT	AI-HT	AI-SHT	RA-MT	RA-HT	LB-MT	LB-HT
	Class F	-0.9%	-0.9%	-0.8%	-0.6%	-0.7%	-0.4%	-0.4%
	Class B	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
A.3.3 (Samsung)	SC RGB 444	-2.0%	-2.0%	-2.1%	-2.0%	-2.0%	-1.2%	-1.6%
NN Interpolation	Animation RGB 444	-0.1%	-0.1%	-0.2%	0.0%	-0.1%	0.0%	0.0%
Lossy	SC YUV 444	-1.6%	-1.7%	-1.8%	-1.4%	-1.6%	-0.9%	-0.9%
RD Based	Animation YUV 444	0.0%	-0.1%	-0.1%	0.0%	0.0%	0.1%	0.0%
Only 4x4	RangeExt	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
	SC(444) GBR Optional	-8.2%	-8.8%	-9.1%	-6.9%	-7.5%	-7.0%	-7.2%
	SC(444) YUV Optional	-7.3%	-8.0%	-8.5%	-6.3%	-7.1%	-6.8%	-7.2%
	Enc Time[%]	108%	109%	109%	100%	100%	100%	100%
	Dec Time[%]	100%	100%	100%	98%	99%	99%	100%