

# JCTVC-M0263

## **AHG13: SHVC Upsampling with phase offset adjustment**

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# Problem(s) Being Addressed

In the current SHM the interpolation filters are fixed. This will have the following implications:

1. The down-sampling phase offset should be known during the up-sampling process. Currently these phase offsets are assumed to be known.
2. To cover a reasonable number of sub-pixel positions at the base layer SHVC needs a large number of filters (currently 12 or 16 for each Luma and Chroma) to be designed (and tested!).

# Compensating for the down-sampling phase offset

With the exception of mandating the phase offset used during down-sampling, the following two solutions are proposed.

1. The phase offset is signaled for vertical and horizontal directions per Luma and Chroma.
2. Encoder signals the filter coefficients for each sub-pixel filter index (Indices are calculated assuming zero phase offset). The filter coefficients will make sure the interpolation for each index has the proper phase shift.

# Solution #1: Syntax for signaling the phase offset

	Descriptor
pic_parameter_set_rbsp( ) {	
pps_pic_parameter_set_id	ue(v)
pps_seq_parameter_set_id	ue(v)
if( nuh_layer_id > 0 && InterLayerTextureRIEnableFlag ) {	
luma_phase_offset[ 0 ]	se(v)
luma_phase_offset[ 1 ]	se(v)
chroma_phase_offset[ 0 ]	se(v)
chroma_phase_offset[ 1 ]	se(v)
}	
...	
}	

# Solution #1: Signaling the phase offset

~~• The variable xRef16 is derived as follow:~~

~~•  $xRef16 = (xP * PicWRL * 16 + ScaledW / 2) / ScaledW$  (G-3)~~

~~• The variable yRef16 is derived as follows:~~

~~• If cldx is equal to 0, the variables xRef16 and yRef16 isare derived as follows:~~

~~•  $xRef16 = (xP * PicWRL * 16 + ScaledW / 2) / ScaledW + luma\_phase\_offset[ 0 ]$  (G-3)~~

~~•  $yRef16 = (yP * PicHRL * 16 + ScaledH / 2) / ScaledH + luma\_phase\_offset[ 1 ]$  (G-4)~~

~~• Otherwise, the variables xRef16 and yRef16 isare derived as follows:~~

~~•  $xRef16 = (xP * PicWRL * 16 + ScaledW / 2) / ScaledW + chroma\_phase\_offset[ 0 ]$  (G-5)~~

~~•  $yRef16 = (yP * PicHRL * 16 + ScaledH / 2) / ScaledH - offset + chroma\_phase\_offset[ 1 ]$   
(G-6)~~

~~• where the value of offset is derived as follows:~~

~~• if (ScaledH is equal to PicHRL)~~

~~offset = 0~~

~~otherwise if (ScaledH is equal to 1.5 \* PicHRL)~~

~~offset = 1~~

~~otherwise if (ScaledH is equal to 2.0 \* PicHRL)~~

~~offset = 2~~

# Solution #2: Signaling the filter coefficients

- Number of sub-pixel positions and filter coefficients for each position are signaled.
- The filter indices are calculated based on scaling factor while assuming a zero-phase shift.
- The coefficients at each index accommodate for the proper sub-pixel position.
- Example for 2X spatial scaling with 0.25 phase offset:
  - Number of filters: 2
  - $f(0) = \{ -1, 4, -10, 58, 17, -5, 1, 0 \}$
  - $f(1) = \{ 0, 1, -5, 17, 58, -10, 4, -1 \}$

# Solution #2: Syntax for signaling the filter coefficients

	Descriptor
pic_parameter_set_rbsp() {	
pps_pic_parameter_set_id	ue(v)
pps_seq_parameter_set_id	ue(v)
if( nuh_layer_id > 0 && InterLayerTextureRIEnableFlag ) {	
for( i = 0; i < 2; i++ ) {	
num_phase_offsets_minus1[ i ]	ue(v)
for( j = 0; j <= num_phase_offsets_minus1[ i ]; j++ ) {	
luma_pixel_shift_flag[ i ][ j ]	u(1)
ref_luma_filter_indx[ i ][ j ]	ue(v)
for( k = 0; k < num_luma_taps; k++ ) {	
delta_luma_filter_coef[ i ][ j ][ k ]	se(v)
}	
chroma_pixel_shift_flag[ i ][ j ]	u(1)
ref_chroma_filter_indx[ i ][ j ]	ue(v)
for( k = 0; k < num_chroma_taps; k++ ) {	
delta_chroma_filter_coef[ i ][ j ][ k ]	se(v)
}	
}	
}	
...	
}	

# Solution #2: Signaling the filter coefficients

- ~~The variable xRef16 is derived as follows:~~

- ~~$xRef16 = (xP * PicWRL * 16 + ScaledW / 2) / ScaledW$  (G-3)~~

- ~~The variable yRef16 is derived as follows:~~

- ~~If cldx is equal to 0, the The variables xRefphase and yRefphase yRef16 isare derived as follows:~~

- ~~$xRef16$   $xRefphase = (xP * PicWRL * (num\_phase\_offsets\_minus1[ 0 ] + 1) 16 + ScaledW / 2 ) / ScaledW$  (G-3)~~

- ~~$yRef16$   $yRefphase = (yP * PicHRL * (num\_phase\_offsets\_minus1[ 1 ] + 1) 16 + ScaledH / 2 ) / ScaledH$  (G-4)~~

- ~~Otherwise, the variable yRef16 is derived as follow:~~

- ~~$yRef16 = (yP * PicHRL * 16 + ScaledH / 2 ) / ScaledH$  offset (G-5)~~

- ~~where the value of offset is derived as follows:~~

- ~~if (ScaledH is equal to PicHRL)~~

- ~~offset = 0~~

- ~~otherwise if (ScaledH is equal to 1.5 \* PicHRL)~~

- ~~offset = 1~~

- ~~otherwise if (ScaledH is equal to 2.0 \* PicHRL)~~

- ~~offset = 2~~

- The variables xRef and xPhase are derived by

- ~~$xRef = (xRef16 \gg 4) ( xRefphase / (num\_phase\_offsets\_minus1[ 0 ] + 1) )$  (G-7),(G-15)~~

- ~~$xPhase = (xRef16) \% 16 ( xRefphase - xRef * (num\_phase\_offsets\_minus1[ 0 ] + 1) )$  (G-8),(G-16)~~

- The variables yRef and yPhase are derived by

- ~~$yRef = (yRef16 \gg 4) ( yRefphase / (num\_phase\_offsets\_minus1[ 1 ] + 1) )$  (G-9),(G-17)~~

- ~~$yPhase = (yRef16) \% 16 ( yRefphase - yRef * (num\_phase\_offsets\_minus1[ 1 ] + 1) )$  (G-10),(G-18)~~

Note: In this case there is no need for rounding operation to find the closest sub-pel position (just truncation).

# Summary

- Need to signal the phase offset
- Advantages of signaling coefficients:
  - Compensating for arbitrary phase shift due to down-sampling with a small number of filters
  - No need to design and verify a large set of fixed of filters, given the limited test conditions available and affordable.
  - No need for rounding, during phase index derivation
  - Propose further study of Adaptive Upsampling Filters in regards to coding efficiency and possible new applications possible by supporting adaptive filter coefficients.