



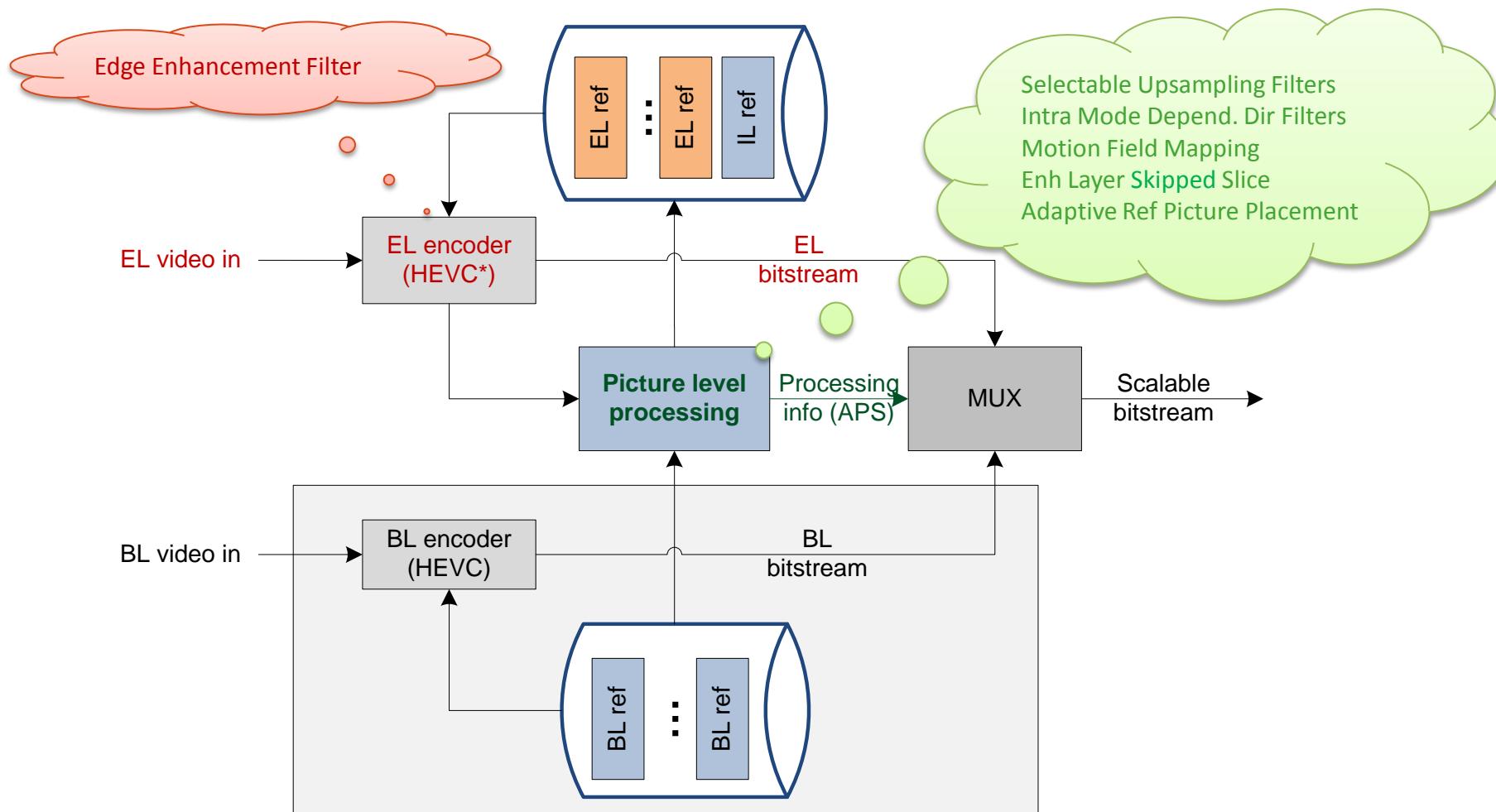
**JCTVC-K0034  
SCALABLE CODING TECHNOLOGY PROPOSAL  
BY INTERDIGITAL COMMUNICATIONS**

InterDigital Communications, LLC  
11<sup>th</sup> JCT-VC meeting, Oct 2012

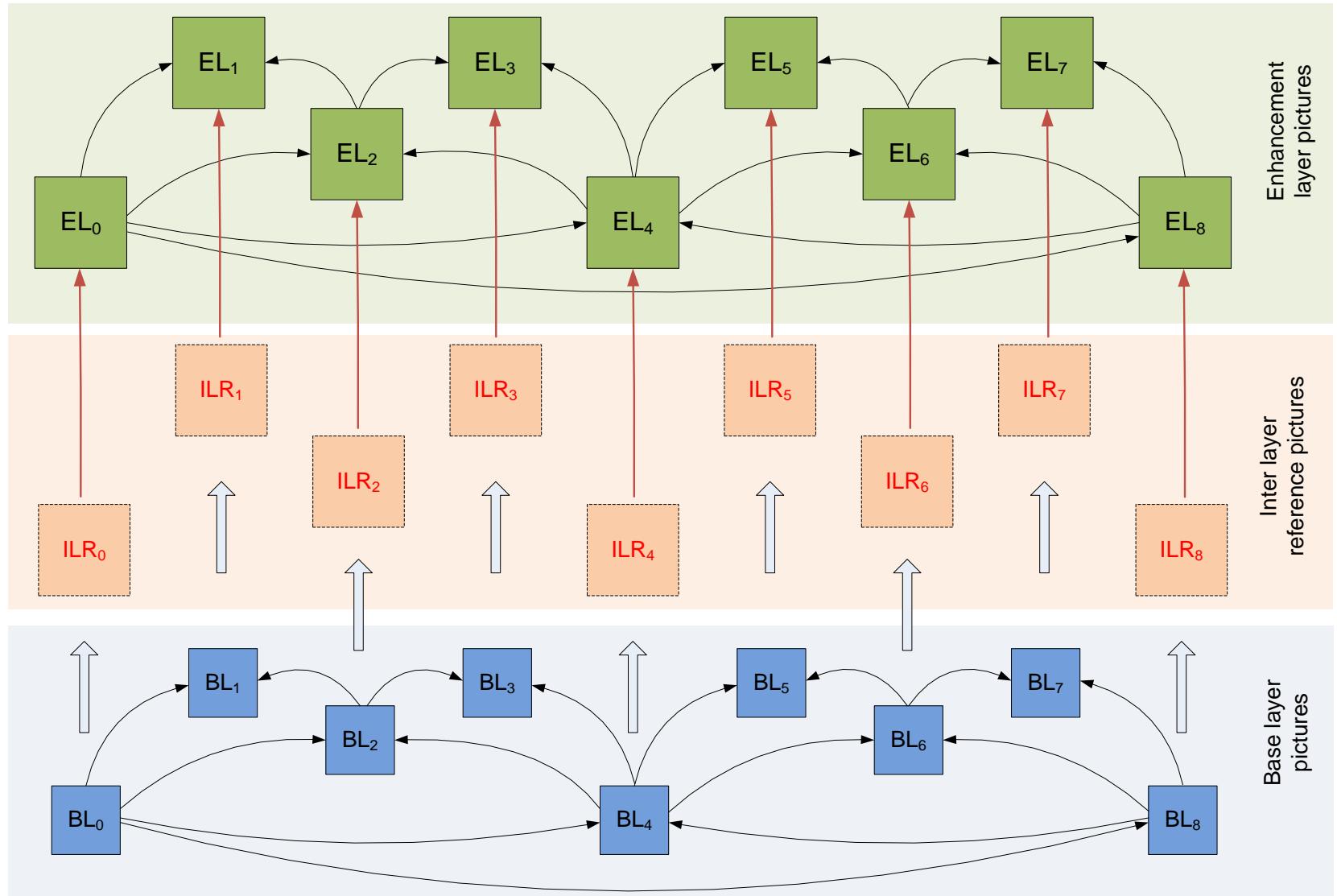
# Introduction

- Our scalable architecture and design philosophy:
  - MVC-like: inter layer reference is added as an additional reference picture for enhancement layer coding
  - Well aligned with 3D extensions in JCT-3V
  - Minimal change to enhancement layer codec
- Primary goal:
  - Improve scalable coding efficiency by maximally re-using current single layer HEVC design
- Our performance data is based on:
  - No change to EL block level operations
  - No change to EL prediction structure
  - No ALF or IBDI
  - No change to EL lambda factor
- Proposed inter layer processing technologies:
  - **SUF**: Selectable Upsampling Filters
  - **IMDDF**: Intra Mode Dependent Directional Filters
  - **MFM**: Motion Field Mapping
  - **ELSkip**: EL skipped slice
  - **ARPP**: Adaptive Reference Picture Placement
- Additional in-loop filter in EL:
  - **EEF**: Edge Enhancement Filter

# The Proposed Scalable Encoder Block Diagram



# Prediction Structure of the Proposed System



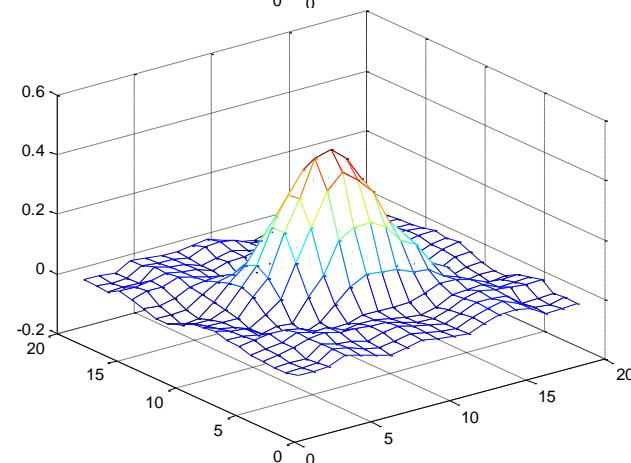
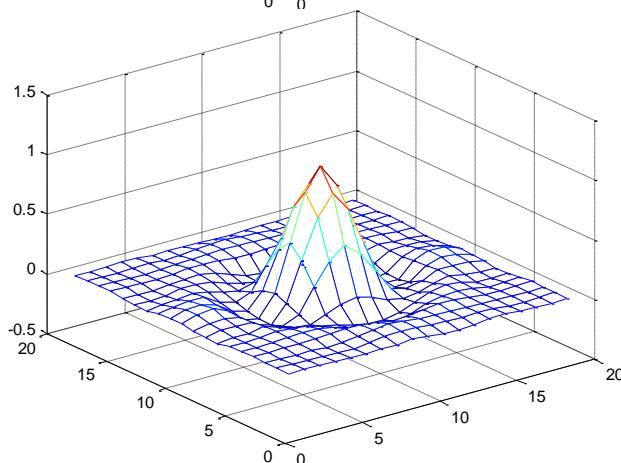
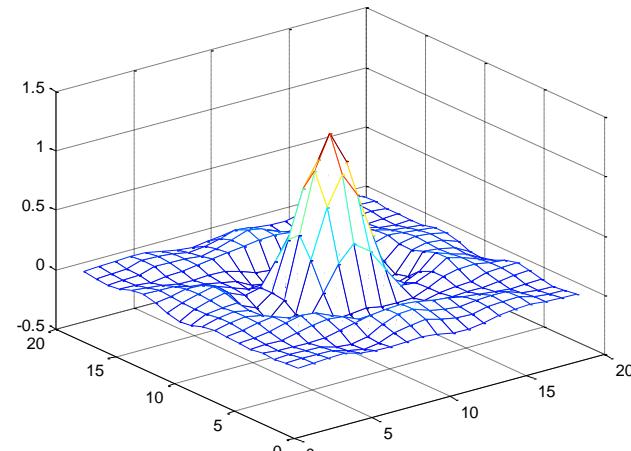
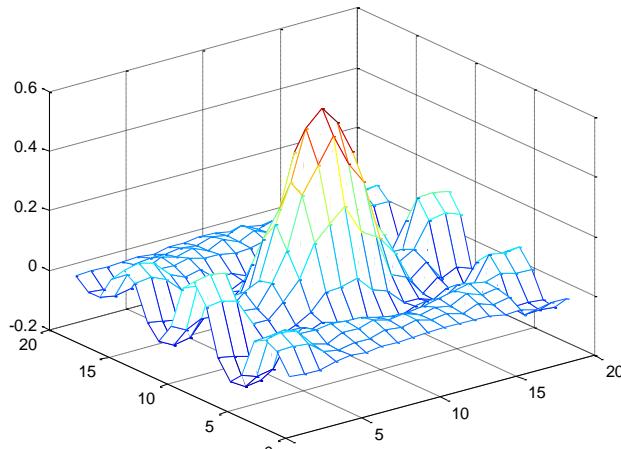
# The Default Upsampling Filters

- Gaussian windowed Sinc function
- 2-D separable
- 8-tap luma filters, 4-tap chroma filters
- 8-bit filter coefficient precision
- 16-bit implementation

Luma	2X		[-3	8	-21	80	80	-21	8	-3]/128
	1.5X		[-5	11	-24	107	53	-18	8	-4]/128
			[-4	8	-18	53	107	-24	11	-5]/128
Chroma	2X	Vertical	[-17	100	56	-11]/128				
			[-3	15	126	-10]/128				
	1.5X	Horizontal	[-15	79	79	-15]/128				
			[-20	122	38	-12]/128				
	1.5X	Vertical	[-20	71	101	-24]/128				
			[-4	12	128	-8]/128				
	1.5X	Horizontal	[-23	113	54	-16]/128				
			[-16	54	113	-23]/128				

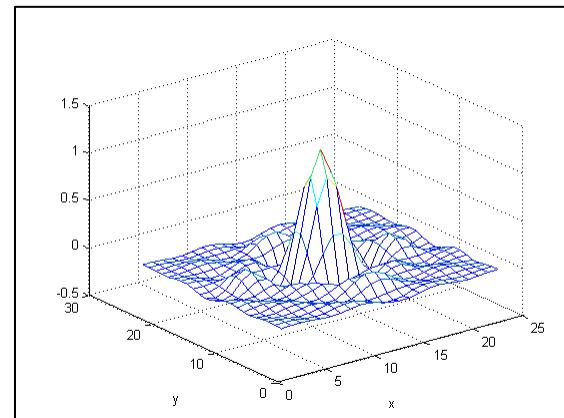
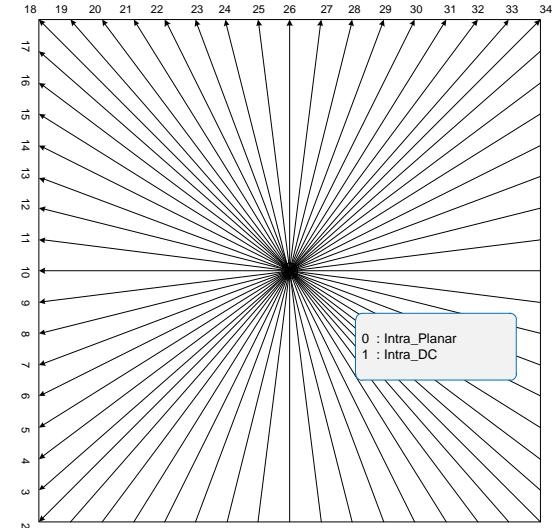
# Selectable Upsampling Filters

- 4 additional upsampling filters with wider range of filter characteristics
  - Non-separable, with sizes 7x7, 7x6, 6x7, and 6x6, 16-bit implementation
  - Selected on picture level, applied to luma only

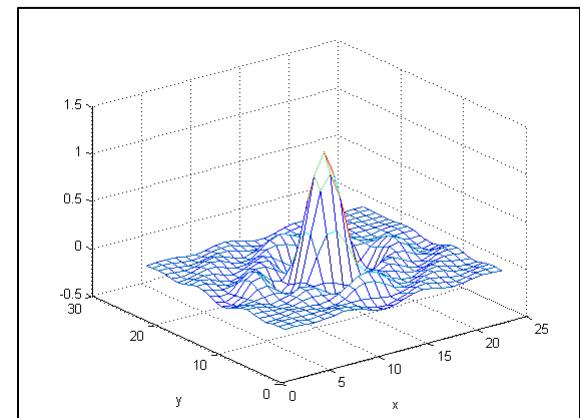


# Intra Mode Dependent Directional Filters

- HEVC supports 35 intra prediction modes
- Base layer block intra prediction modes provide directionality information
- IMDDF is a set of poly-phase directional filters for different prediction modes
  - 7x7, 7x8, 8x7 and 8x8
- Applied to luma component only
- Current implementations applies IMDDF on intra coded pictures only

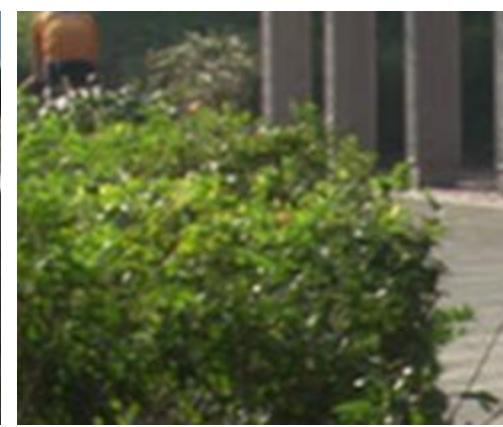


1.5x IMDDF for 135° diagonal (mode 2)



1.5x IMDDF for 45° diagonal (mode 18)

## IMDDF visual examples: fixed 2x



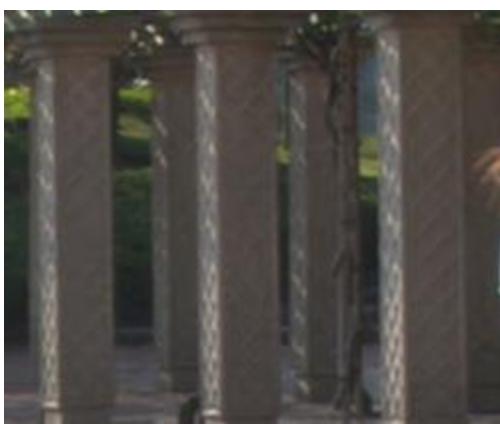
## IMDDF visual examples: IMDDF 2x



# IMDDF visual examples : fixed 1.5x



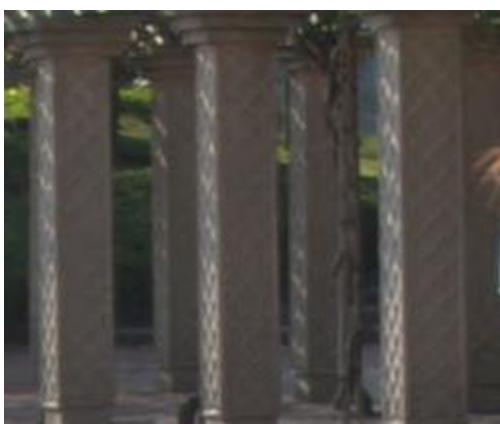
Marzo 2007	
1	G s. Albino
2	V s. Basileo
3	S s. Canegeida
4	D il di Quaresima
5	L s. Adriano
6	M s. Coletta



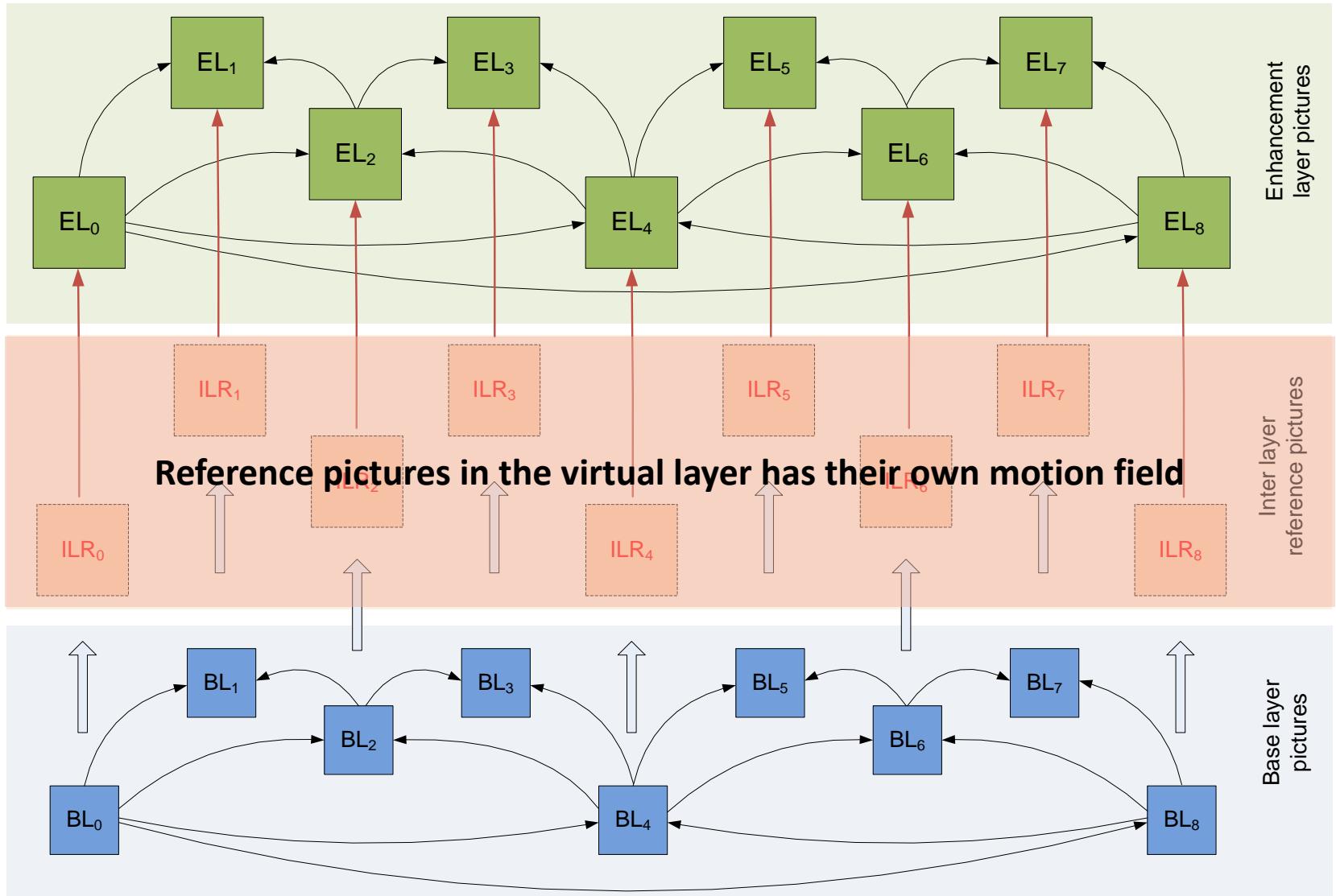
# IMDDF visual examples: IMDDF 1.5x



Marzo 2007	
1	G s. Albino
2	V s. Basilio
3	S s. Cunegonda
4	D Il di Quaresima
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# Inter Layer References Form a Virtual Layer

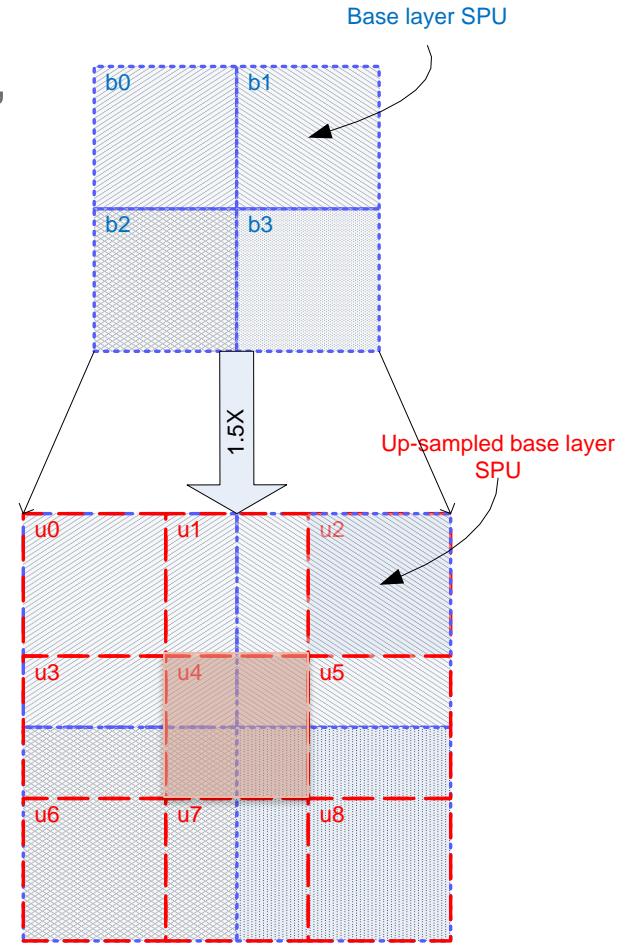


# Motion Field Mapping

- MFM “creates” the motion field for the inter layer reference pictures in the “virtual layer”, just like upsampling “creates” the pixel values
- Inter layer motion prediction accomplished using TMVP in EL, without any block level changes
- Motion field mapping:
  - Reference index mapping:  $ref^{ILR}$ 
    - majority rule, tie breaking based on temporal POC distance
  - Motion vector mapping:

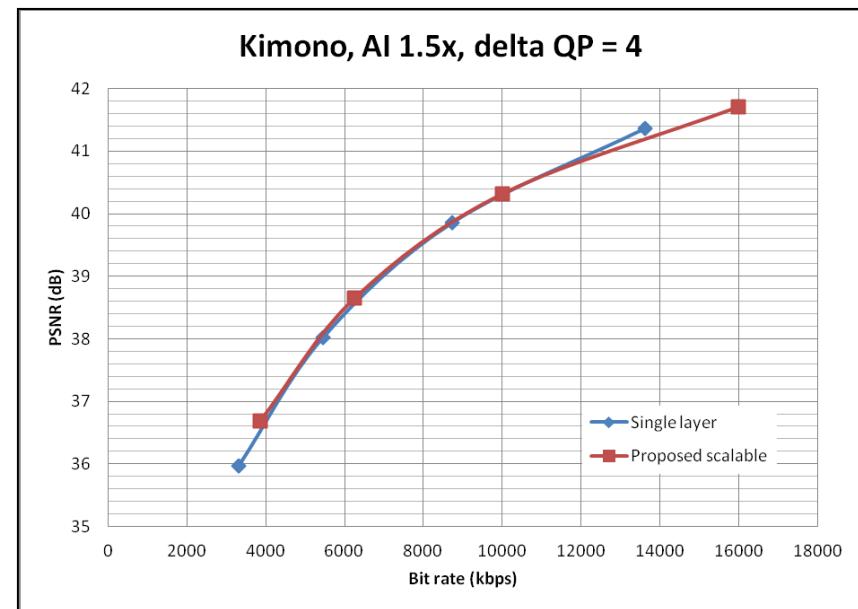
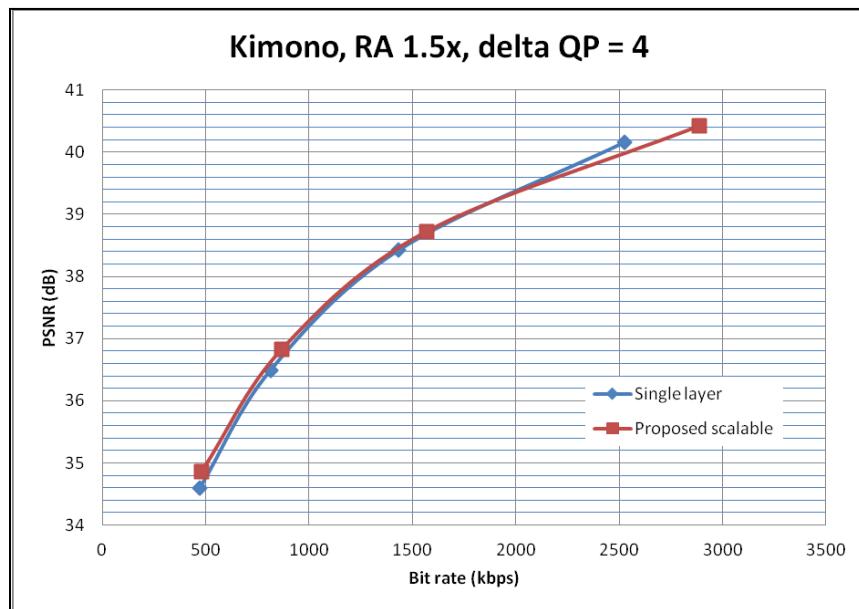
$$MV^{ILR} = N \frac{\sum_{i \in B} S_i \cdot MV_i^{BL}}{\sum_{i \in B} S_i} \quad B = \{ j : ref_j = ref^{ILR} \}$$

- Also provides motion field for EL skipped slice
- Our implementation uses the compressed MVs



# EL Skipped Slice

- Sophisticated inter layer processing techniques can produce inter layer references with high quality
  - Esp. when base layer reconstruction quality is relatively high
- ELSkip skips CU level coding and directly copies from inter layer reference
  - Encoder makes decision based on RD
  - Currently signaled by a new NAL\_UNIT\_TYPE
  - Motion field is also copied from inter layer reference through MFM
  - Reduces decoding complexity
- In some cases, scalable coding efficiency comparable to single layer coding

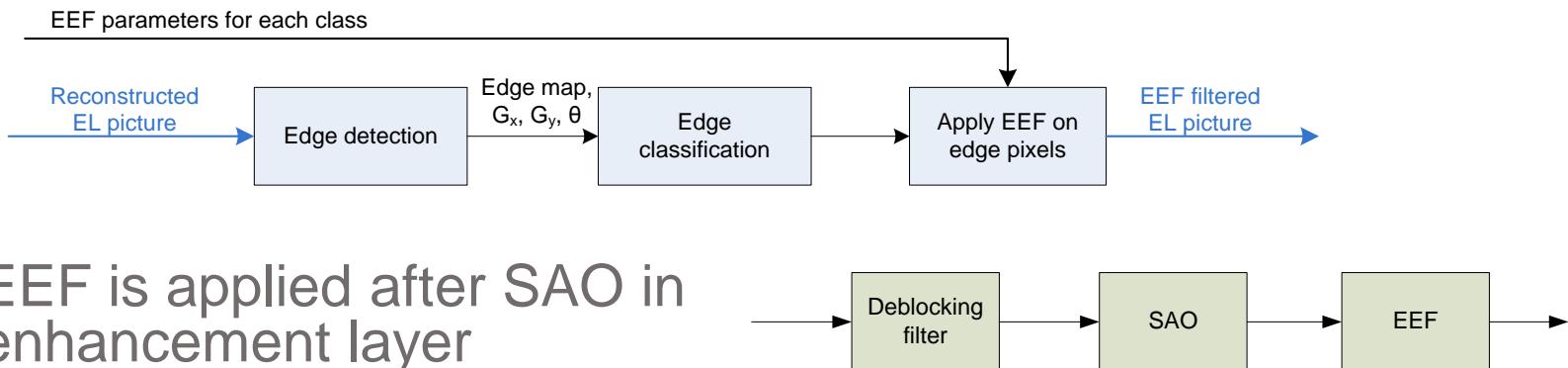
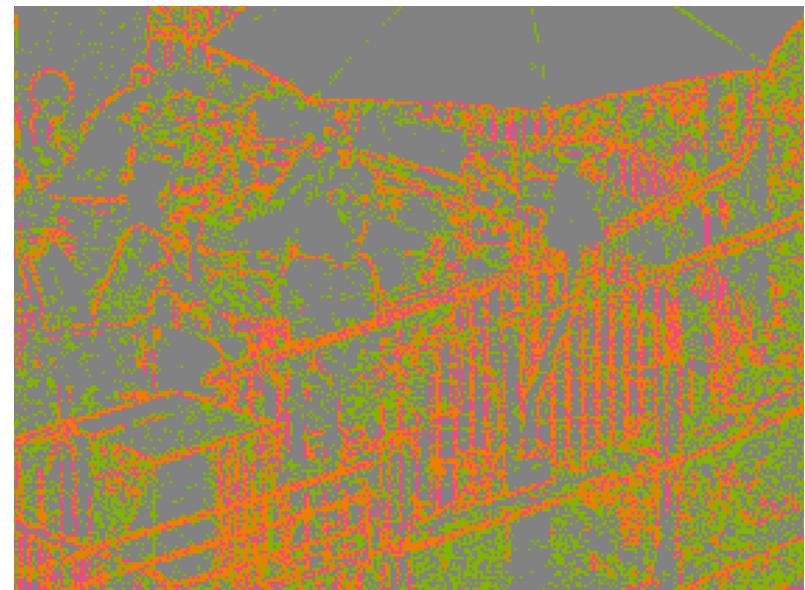


# Adaptive Reference Picture Placement

- Inter layer reference can offer better prediction than temporal reference pictures, e.g., when
  - Temporal distance is large
  - Base layer reconstruction is relatively high quality
- Adaptively decides inter layer reference picture placement in the reference picture lists
- In our implementation, the reference pictures are reordered using SATD based motion distortion

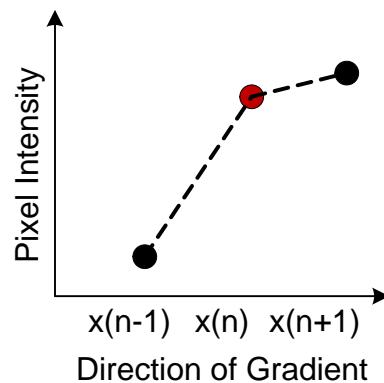
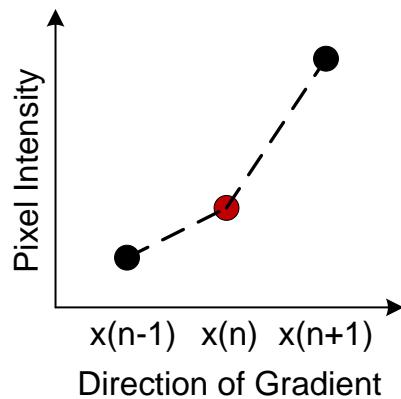
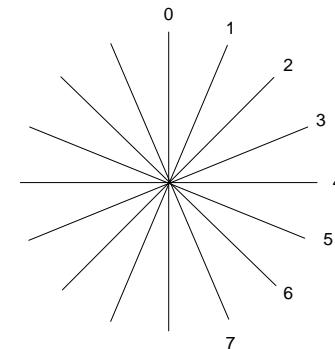
# Edge Enhancement Filter

- Quantization tends to remove/distort high frequency components in the original video
  - Loss of detail, distorted edge/texture
- EEF is used to improve signal fidelity around edges
  - Canny edge detector
  - 16 edge pixel classes
  - Apply trained offset for each class



# EEF: Edge Classification

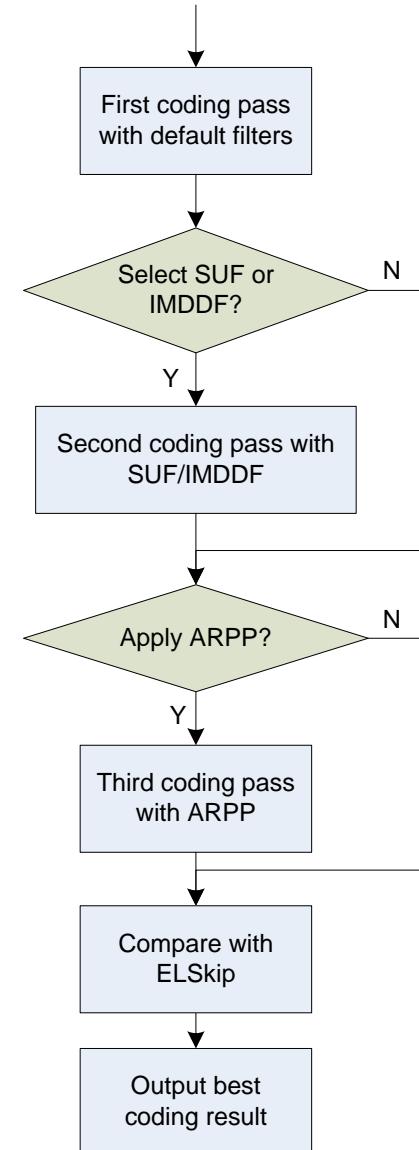
1. Classify into 8 edge pixel groups based on edge direction  $\theta$
2. Within each group, classify into 2 sub-groups based on sign of 2<sup>nd</sup> order derivative in the edge gradient direction



$$sign(n) = \begin{cases} -1 & \text{if } p(n) < (p(n-1) + p(n+1))/2 \\ +1 & \text{if } p(n) > (p(n-1) + p(n+1))/2 \end{cases}$$

# Multi-pass encoding

- Picture level multi-pass encoding with early termination
  - Worst case 3 coding passes
  - Can be further consolidated by combining SUF/IMDDF pass with ARPP pass
- SUF/IMDDF early termination based on estimated R-D cost reduction
- ARPP early termination based on whether ILR picture placement is different from default



## Category 2: AVC base layer

- Extending the proposed framework to cover AVC base layer coding is straightforward
- Only pixel values from base layer reconstruction are used
- Not all proposed tools are applicable

Tool name	Applicability (yes/no)
SUF	Yes
IMDDF	No
MFM	No
ARPP	Yes
ELSkip	Yes
EEF	Yes

- Further gain to be expected when additional base layer information can be used

# Syntax and Semantics

- Very simple syntax changes (high level syntax only)
  - nuh\_layer\_id\_plus1
  - New NAL\_unit\_type for ELSkip slices
  - Adaptation Parameter Set
    - Very suitable for carrying picture level processing parameters
    - upsample\_method\_idc

Index	Name
0	DEFAULT
1	SUF
2	IMDDF

- EEF parameters: 16 offsets per color component per slice

# Performance Evaluation (EL-only actual rate)

	Category 1					Category 2	
	AI 2x	AI 1.5x	RA 2x	RA 1.5x	RA SNR	RA 2x	RA 1.5x
Y	-34.3%	-54.7%	-25.3%	-44.7%	-33.0%	-24.2%	-40.8%
U	-23.0%	-47.6%	-9.0%	-33.5%	-16.9%	-8.0%	-30.7%
V	-23.4%	-47.4%	-7.5%	-30.8%	-12.5%	-6.4%	-27.8%

# Simulation Platforms

## Linux grid for encoding

<b>CPU</b>	Intel Xeon E5-2650 / Clock speed 2.00GHz Intel Xeon X5675 / Clock speed 3.07GHz
<b>Memory for each core</b>	2 GB
<b>HDD characteristics</b>	-Hard Drive Capacity: 300GB -Interface: Serial Attached SCSI -External Data Transfer Rate: 6.0 GB/sec -Rotational Speed: 10,000 rpm
<b>Compiler</b>	GCC version 4.4.5
<b>Operating System (OS)</b>	64 bit Linux

## Windows server for decoding

<b>CPU</b>	Intel Xeon X5675 / Clock speed 3.07GHz
<b>Memory for each core</b>	2 GB
<b>HDD characteristics</b>	-Hard Drive Capacity: 500GB -Interface: Serial Attached SCSI
<b>Compiler</b>	Visual studio 2010
<b>Operating System (OS)</b>	64 bit Windows Server 2003

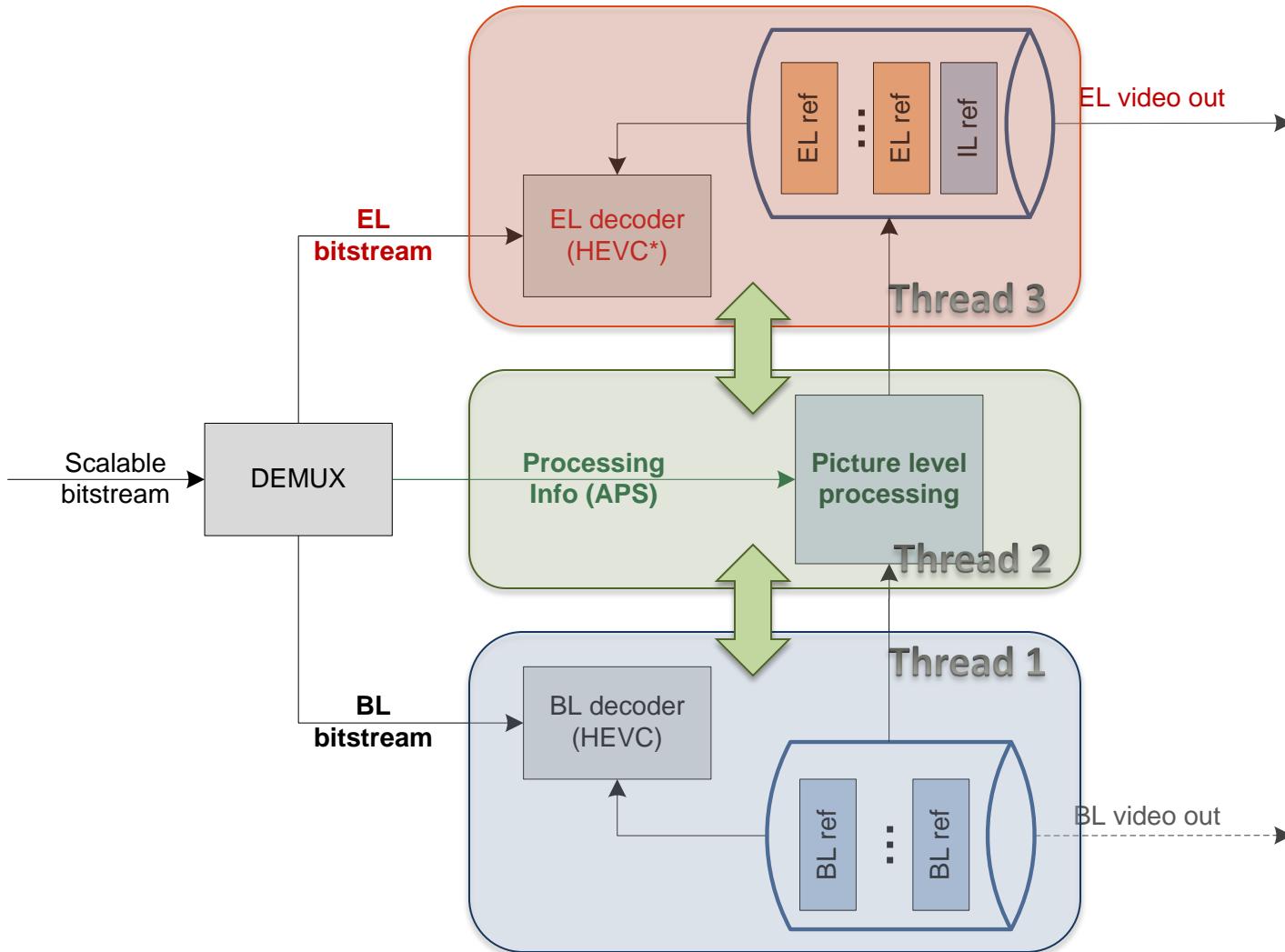
# Encoding Time and Memory Usage

Config	Scalability	Class	Average encoding time (hours)	Average encoder memory usage
AI	2x	Class A	14.6	2.50 GB
		Class B	12.1	720 MB
	1.5x	Class B	8.7	750 MB
RA	2x	Class A	20.4	1.65 GB
		Class B	17.4	1.50 GB
	1.5x	Class B	16.4	1.68 GB
	SNR	Class A	27.4	3.50 GB
		Class B	16.7	2.00 GB

# Decoding Time and Memory Usage

Config	Scalability	Class	% decoding time increase relative to anchor	Average decoder memory usage
AI	2x	Class A	34%	2.08 GB
		Class B	118%	595 MB
	1.5x	Class B	58%	613 MB
RA	2x	Class A	164%	2.15 GB
		Class B	167%	610 MB
	1.5x	Class B	113%	630 MB
	SNR	Class A	73%	2.30 GB
		Class B	55%	635 MB

# Implementation Aspects: Parallelization



Implemented a multi-threaded decoder of the proposed system

# Closing Remarks

- MVC-like approach
  - Inter layer prediction accomplished by using inter layer reference as additional reference picture
  - No significant change to the enhancement layer codec
  - Aligned with 3D extensions in JCT-3V
- Low implementation complexity
- Designed for multi-threaded parallelization, especially at the decoder
  - Implemented a working prototype of multi-threaded decoder
- Question: for scalable extensions of HEVC, should we consider a low complexity setting that only requires high level syntax changes at enhancement layer?