



# **JCTVC-D202: 1:2 Spatial Scalability Support for HEVC**

Danny Hong, Jill Boyce, Alexandros Eleftheriadis  
Vidyo



# JCTVC-D202: 1:2 Spatial Scalability Support for HEVC



- Extend HEVC to include SVC 1:2 scalability tools
- Benefits:
  - Significant coding gain vs. simulcast
  - Clean design

# Introduction

- Using scalable codec, a single bitstream can be scaled, without transcoding, to support various endpoints
- Simulcast results in significant bit rate overhead
- Incorporating scalability tools in the initial phase of HEVC results in a cleaner design, avoiding the need to retrofit the system design with ugly backwards-compatible patches, such as the NAL unit header SVC extension and the prefix NAL unit.

# SVC has been embraced by the videoconferencing industry



- Announcements of SVC support by
  - Vidyo
  - Google
  - HP
  - Microsoft
  - Hitachi
  - Polycom
  - Radvision
  - ...

# Limited scalability support

- **SVC supported a wide range of scalability capabilities, but only a small subset of features have seen market adoption**
  - Temporal scalability
  - 1:2 Spatial scalability
- **Restricting proposal allows for quicker development, fewer pages of spec text**
  - Allows for inclusion in first phase of standard development
  - Temporal scalability already supported in HEVC WD
  - 1:2 spatial scalability easier to incorporate to into HEVC design than 1.5 and arbitrary ratios

# Propose to adopt the SVC inter-layer prediction tools

- SVC inter-layer prediction tools
  - Inter-layer intra prediction
  - Inter-layer motion prediction
  - Inter-layer residual prediction
  
- Syntactic elements needed for prediction units
  - base\_mode\_flag
  - motion\_prediction\_flag\_l0 and motion\_prediction\_flag\_l1
  - residual\_prediction\_flag
  
- SVC upsampling method used

# Syntax changes: NAL unit header

nal_unit( NumBytesInNALunit ) {	Descriptor
...	
if( nal_unit_type == 1    nal_unit_type == 5 ) {	
<b>temporal_id</b>	u(3)
<b>output_flag</b>	u(1)
<b>non_base_layer_flag</b>	u(1)
<b>reserved_zero_3bits</b>	u(3)
if( non_base_layer_flag ) {	
<b>no_inter_layer_pred_flag</b>	u(1)
<b>dependency_id_minus1</b>	u(2)
<b>discardable_flag</b>	u(1)
<b>reserved_non_base_layer_zero_4bits</b>	u(4)
nalUnitHeaderBytes += 1	
}	
nalUnitHeaderBytes += 1	
}	
...	
}	

# Syntax changes: slice header

slice_header() {	Descriptor
...	
if( deblocking_filter_control_present_flag ) {	
...	
}	
if( !no_inter_layer_pred_flag ) {	
<b>adaptive_base_mode_flag</b>	u(1)
if( !adaptive_base_mode_flag )	
<b>default_base_mode_flag</b>	u(1)
if( !default_base_mode_flag ) {	
<b>adaptive_motion_prediction_flag</b>	u(1)
if( !adaptive_motion_prediction_flag )	
<b>default_motion_prediction_flag</b>	u(1)
}	
<b>adaptive_residual_prediction_flag</b>	u(1)
if( !adaptive_residual_prediction_flag )	
<b>default_residual_prediction_flag</b>	u(1)
}	
}	

# Syntax changes: coding unit

coding_unit( x0, y0, log2CUSize ) {	Descriptor
if( slice_type != I )	
<b>skip_flag</b> [ x0 ][ y0 ]	u(1)   ae(v)
if( skip_flag[ x0 ][ y0 ] )	
prediction_unit( x0, y0, log2CUSize, log2CUSize )	
else {	
if( NumMergeCandidates > 0 )	
<b>merge_flag</b> [ x0 ][ y0 ]	u(1)   ae(v)
if( merge_flag[ x0 ][ y0 ] ) {	
...	
} else {	
if( adaptive_base_mode_flag )	
<b>base_mode_flag</b>	u(1)   ae(v)
if( base_mode_flag ) {	
prediction_unit( x0, y0, log2CUSize, log2CUSize )	
transform_tree( x0, y0, log2CUSize, 0, 0 )	
} else {	
if( slice_type != I )	
<b>pred_mode</b>	u(1)   ae(v)
x1 = x0 + ( ( 1 << log2CUSize ) >> 1 )	
y1 = y0 + ( ( 1 << log2CUSize ) >> 1 )	
if( PredMode == MODE_INTRA ) {	
...	ue(1)   ae(v)
} else if( PredMode == MODE_INTER ) {	
...	
} else { /* MODE_DIRECT */	
...	
}	
} /* !base_mode_flag */	
}	
}	
}	



prediction_unit( x0, y0, log2PUWidth, log2PUHeight ) {	Descriptor
if( skip_flag[ x0 ][ y0 ] ) {	
...	
} else {	
if( !base_mode_flag ) {	
if( PredMode == MODE_INTRA ) {	
...	
} else { /* MODE_MERGE, MODE_DIRECT, MODE_INTER */	
if( merge_flag[ x0 ][ y0 ] && NumMergeCandidates > 1 ) {	
<b>merge_left_flag[ x0 ][ y0 ]</b>	u(1)   ae(v)
} else if( PredMode == MODE_DIRECT ) {	
...	
} else {	
if( slice_type == B )	
<b>inter_pred_idc[ x0 ][ y0 ]</b>	ue(v)   ae(v)
if( inter_pred_idc[ x0 ][ y0 ] != Pred_L1 ) {	
if( adaptive_motion_prediction_flag )	
<b>motion_prediction_flag_I0[ i ]</b>	ue(1)   ae(v)
if( !motion_prediction_flag_I0[ i ] ) {	
... /* ref_idx_I0, mvd_I0, mvp_idx_I0 */	
}	
else { /* mvd_I0 */ }	
}	
if( inter_pred_idc[ x0 ][ y0 ] != Pred_L0 ) {	
if( adaptive_motion_prediction_flag )	
<b>motion_prediction_flag_I1[ i ]</b>	ue(1)   ae(v)
if( !motion_prediction_flag_I1[ i ] ) {	
... /* ref_idx_I1, mvd_I1, mvp_idx_I1 */	
}	
else { /* mvd_I1 */ }	
}	
}	
}	
} /* !base_mode_flag */	
if( adaptive_residual_prediction_flag && slice_type != I && ( base_mode_flag    PredMode != MODE_INTRA ) )	
<b>residual_prediction_flag</b>	ue(1)   ae(v)
} /* !skip_flag[ x0 ][ y0 ] */	
}	

# Experimental Conditions

- TMuC 0.9 was modified
  - Support 2 spatial layers (one base layer and one enhancement layer)
  - Intra only
  - 1:2 spatial scalability
  - Software available, uploaded with contribution
- All test sequences of the 5 classes
- Both high-efficiency and low-complexity settings
- QP offset of 0 and 2 in enhancement layer (vs. the base layer)
- Syntax proposal applicable to all picture types, but implementation and experimental results only available for Intra coding

# Experimental Results (QP offset = 0)

- 2 layer intra spatial scalable coding vs. simulcast (comparing enhancement layer PSNR vs. total bit rate)

	Intra			Intra LoCo		
	Y BD-rate	U BD-rate	V BD-rate	Y BD-rate	U BD-rate	V BD-rate
Class A	-19.4	-21.2	-21.9	-17.8	-20.0	-20.2
Class B	-15.2	-18.1	-17.6	-13.1	-15.0	-14.9
Class C	-7.9	-10.5	-9.7	-7.1	-8.8	-8.6
Class D	-6.5	-8.4	-7.6	-6.0	-8.1	-7.8
Class E	-15.3	-17.0	-16.6	-13.3	-14.5	-14.5
<b>All</b>	<b>-12.1</b>	<b>-14.4</b>	<b>-14.0</b>	<b>-10.7</b>	<b>-12.6</b>	<b>-12.4</b>
<b>Max</b>	<b>-25.4</b>	<b>-28.1</b>	<b>-29.6</b>	<b>-21.7</b>	<b>-25.4</b>	<b>-26.3</b>

# Experimental Result (QP offset = 2)

- 2 layer intra spatial scalable coding vs. simulcast (comparing enhancement layer PSNR vs. total bit rate)

	Intra			Intra LoCo		
	Y BD-rate	U BD-rate	V BD-rate	Y BD-rate	U BD-rate	V BD-rate
Class A	-22.8	-25.1	-26.2	-21.9	-25.1	-25.8
Class B	-18.4	-21.9	-21.7	-16.7	-19.6	-19.7
Class C	-10.3	-13.1	-12.3	-9.3	-11.8	-11.6
Class D	-8.4	-10.3	-9.6	-7.7	-10.8	-10.5
Class E	-18.7	-21.8	-21.2	-16.9	-20.5	-20.2
<b>All</b>	<b>-14.9</b>	<b>-17.7</b>	<b>-17.3</b>	<b>-13.7</b>	<b>-16.7</b>	<b>-16.6</b>
<b>Max</b>	<b>-29.8</b>	<b>-33.7</b>	<b>-35.4</b>	<b>-27.4</b>	<b>-31.9</b>	<b>-32.3</b>

# Conclusions

- SVC is widely adopted and accepted by the videoconferencing industry
- Scalable coding yields significant coding gains compared to simulcast
- Incorporating scalability features in the first phase ensures a clean scalability design and eliminates the need to retrofit the design later to add scalability support
- Limiting features allows for simpler design
- Recommend for group to define experimental conditions for spatial scalability

Thank you

