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***CE 7 : Mode-dependent DCT/DST for
intra prediction in video coding
JCTVC-D033***

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Outline

- ❑ Prior and Related Work
- ❑ Background and Example
- ❑ Implementation in TMuC 0.9
- ❑ Experimental Results
- ❑ Conclusions

Prior & Related Work - 1

1. ICASSP, March 2010

(Jingning Han, Ankur Saxena and Prof. Kenneth Rose, University of California- Santa Barbara)

- ❑ Derived and proposed DST for Intra coding
- ❑ Implementation in JM 11.0

2. JCTVC-B024, July 2010

(C. Yeo, Y. H. Tan, Z. Li and S.Rahardja; I2R Singapore)

- ❑ Similar DST derived as the above ICASSP paper
- ❑ Implementation in JM11.0KTA2.6r1

3. JCTVC-C108, October 2010

(Ankur Saxena and Felix C. Fernandes, Samsung)

4. JCTVC-C037 , October 2010, (C. Yeo, Y. H. Tan, Z. Li and S.Rahardja; I2R Singapore)

Prior & Related Work - 2

- Low complexity-Integer DST at size 4*4
 - Jingning Han, Ankur Saxena, Vinay Melkote and Prof Kenneth Rose

$$\begin{aligned} T_S &\approx T_{IS} = \Lambda_S H_S \\ &= \left(\frac{1}{\sqrt{147}} \cdot I \right) \begin{pmatrix} 3 & 5 & 7 & 8 \\ 7 & 7 & 0 & -7 \\ 8 & -3 & -7 & 5 \\ 5 & -8 & 7 & -3 \end{pmatrix}, \end{aligned}$$

- Numerous other contributions related to mode-dependent DCT/DST at this JCTVC meeting

Block Diagram for Unified Intra Prediction



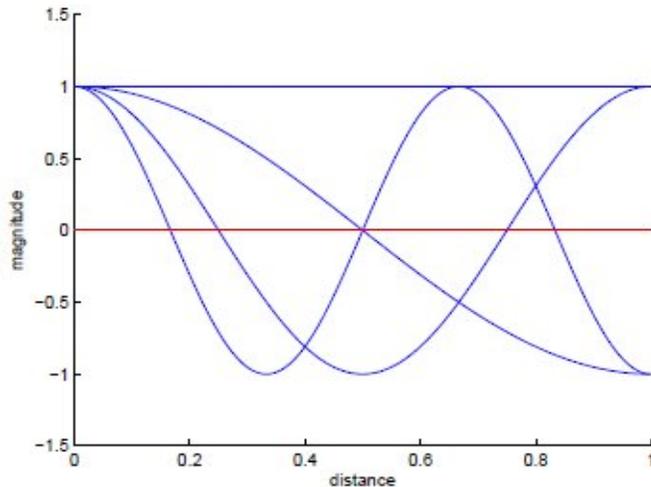
- ❑ DCT is used as the transform for all block sizes 4×4 , 8×8 , 16×16 and 32×32 following intra-prediction
- ❑ **Proposed**: Mode-dependent DCT/DST for intra prediction

Motivation for DST: One-Sided Intra Prediction

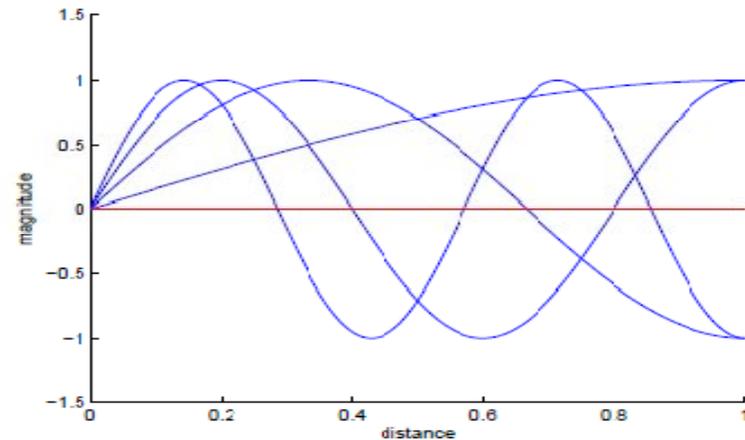
- When prediction is performed from one-side, the energy in prediction error residuals (gray pixels) increases as we go away from the boundary (orange pixel).



- A sine transform (with basis functions as shown below) is better adaptable to these prediction residual statistics.



Orthogonal continuous cosine bases

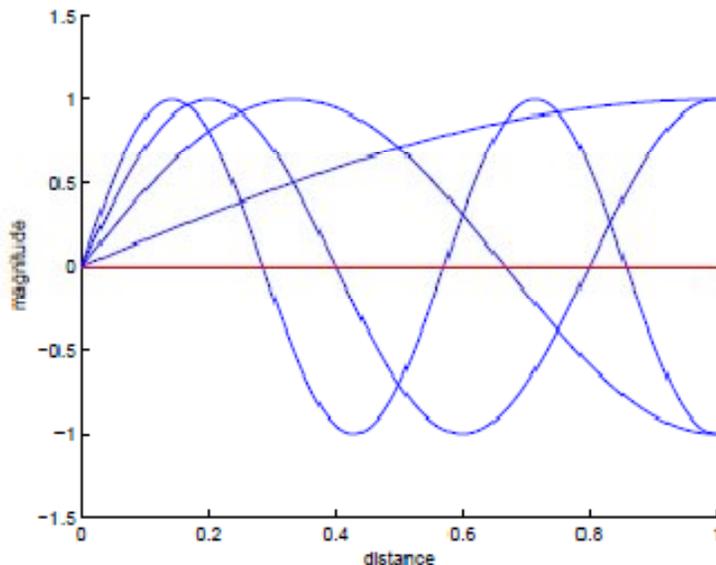


Orthogonal continuous sine bases

Optimal Residual Transform

- The KLT of the autocorrelation matrix $R_{yy} = E(\underline{y}\underline{y}^T)$ is a DST [Yueh, Applied Mathematics, 2005]:

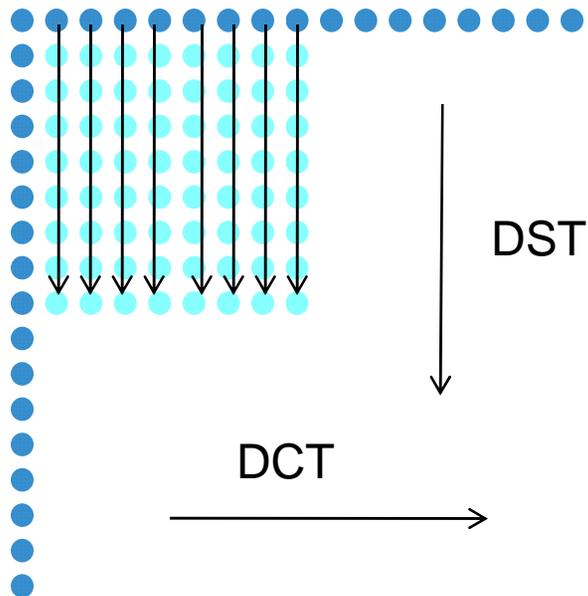
$$[T_S]_{j,i} = \left(\frac{2}{\sqrt{2N+1}} \sin \frac{(2j-1)i\pi}{2N+1} \right)$$



- Derivation and more details in the ICASSP paper and our proposal JCTVC-C108

Sine bases

Example : Vertical Mode



- Vertical Mode
- 1-d prediction in vertical direction

- DST in vertical direction
- DCT in horizontal direction



□ Implementation in TMuC 0.9

Implementation in TMuC 0.9

- ❑ Experiments were performed for Intra HE, Intra LC, Random Access HE, Random Access LC configurations according to stipulated conditions in CE 7 description (JCTVC-C507, Robert Cohen et al.)
- ❑ **Scanning** : Uses TMuC 0.9 scanning for the transform coefficients ***without any modification***
- ❑ **DCT Matrices** Uses TMuC 0.9 DCT for both intra and inter slices ***without any modification***
- ❑ **Quantization & Inverse Quantization Tables**: Use TMuC 0.9 quantization and inverse quantization matrices for Intra and Inter slices ***without any modification***

More Details about implementation

- ❑ No new horizontal or vertical DCT kernels were introduced in our proposal
 - ❑ If new horizontal or vertical DCT kernels are used, then there will be 2 DCT's in the standard for intra slices
 - ❑ This will also require a new set of quantization and inverse quantization tables only for Intra –slices

- ❑ No new quantization/inverse quantization matrices were introduced
 - ❑ If new quantization/inverse quantization matrices are introduced for this **Intra tool**, this may require the storage of additional 960 elements for size 4*4 and 8*8

TMuC 0.9 quantization matrices storage for requirement at size 4 and 8

- ❑ There are 6 quantization and 6 inverse quantization tables at a block size.
- ❑ An example of one quantization table at size 4*4

{ 13107, 8066, 13107, 8066,
8066, 5243, 8066, 5243,
13107, 8066, 13107, 8066,
8066, 5243, 8066, 5243
},

| Size | Number of elements | Number of Quantization/Inverse Quantization elements |
|-------|--------------------|--|
| 4*4 | 16 | $16*6 * 2 = 192$ |
| 8*8 | 64 | $64*6*2 = 768$ |
| Total | | 960 |

- ❑ Our proposal JCTVC-D033 **does not** require any additional storage
 - ❑ Re-Uses same quantization tables for DCT and DST.

Experiments for Mode-Dependent DCT/DST

| | Anchor | Proposed Scheme | Comments | Cross-Checkers |
|---|----------|---|---|--|
| 1 | TMuC 0.9 | DCT/DST at size 4*4 and 8*8 DCT only at size 16 and 32 | TMuC 0.9 DCT kernels are used at all block sizes | BBC (JCTVC-D031), NHK (JCTVC-D088) |
| 2 | TMuC 0.9 | DCT/DST at all block sizes : 4*4 to 32*32 | DCT kernels in DCT/DST part are exactly similar to TMuC. DCT is carried out via matrix multiplications. | BBC(JCTVC-D031), NHK(JCTVC-D088), Toshiba (JCTVC-D105) |

□ 2nd set of results is primarily for information purpose.

DCT/DST at 4*4 and 8*8. TMuC 0.9 DCT kernels are used.

| | Intra | | | Intra LoCo | | |
|-------------|-----------|-----------|-----------|------------|-----------|-----------|
| | Y BD-rate | U BD-rate | V BD-rate | Y BD-rate | U BD-rate | V BD-rate |
| Class A | -2.1 | -2.3 | -2.4 | -3.0 | -1.5 | -1.1 |
| Class B | -0.8 | -1.1 | -1.1 | -1.5 | -1.1 | -1.0 |
| Class C | -1.1 | -1.1 | -1.1 | -1.7 | -1.2 | -1.2 |
| Class D | -1.2 | -1.1 | -1.1 | -1.7 | -1.1 | -1.1 |
| Class E | -1.4 | -1.7 | -1.6 | -2.5 | -0.6 | -1.0 |
| All | -1.2 | -1.3 | -1.3 | -1.9 | -1.1 | -1.1 |
| Enc Time[%] | 104% | | | 97% | | |
| Dec Time[%] | 102% | | | 99% | | |

| | Random access | | | Random access LoCo | | |
|-------------|---------------|-----------|-----------|--------------------|-----------|-----------|
| | Y BD-rate | U BD-rate | V BD-rate | Y BD-rate | U BD-rate | V BD-rate |
| Class A | -0.9 | -0.5 | -0.4 | -1.1 | -0.3 | 0.1 |
| Class B | -0.5 | -0.3 | -0.2 | -0.5 | -0.4 | -0.4 |
| Class C | -0.6 | -0.5 | -0.5 | -0.6 | -0.3 | -0.3 |
| Class D | -0.5 | -0.5 | -0.4 | -0.5 | -0.4 | -0.3 |
| Class E | | | | | | |
| All | -0.6 | -0.4 | -0.4 | -0.6 | -0.4 | -0.3 |
| Enc Time[%] | 100% | | | 98% | | |
| Dec Time[%] | 103% | | | 101% | | |

DCT/DST at all block sizes: 4*4 to 32*32. DCT via matrix multiplication

| | Intra | | | Intra LoCo | | |
|-------------|-----------|-----------|-----------|------------|-----------|-----------|
| | Y BD-rate | U BD-rate | V BD-rate | Y BD-rate | U BD-rate | V BD-rate |
| Class A | -2.4 | -2.8 | -3.0 | -3.5 | -2.6 | -2.6 |
| Class B | -1.0 | -1.7 | -1.8 | -1.7 | -1.9 | -1.9 |
| Class C | -1.2 | -1.4 | -1.4 | -1.8 | -1.6 | -1.6 |
| Class D | -1.2 | -1.2 | -1.2 | -1.7 | -1.3 | -1.3 |
| Class E | -1.5 | -2.9 | -2.8 | -2.7 | -2.8 | -2.9 |
| All | -1.3 | -1.9 | -1.9 | -2.1 | -2.0 | -2.0 |
| Enc Time[%] | 113% | | | 121% | | |
| Dec Time[%] | 117% | | | 127% | | |

Complexity high because of **un-optimized** 32*32 matrix multiplication

| | Random access | | | Random access LoCo | | |
|-------------|---------------|-----------|-----------|--------------------|-----------|-----------|
| | Y BD-rate | U BD-rate | V BD-rate | Y BD-rate | U BD-rate | V BD-rate |
| Class A | -1.0 | -0.8 | -0.8 | -1.2 | -0.5 | -0.5 |
| Class B | -0.6 | -1.0 | -0.9 | -0.7 | -0.6 | -0.7 |
| Class C | -0.7 | -0.7 | -0.6 | -0.6 | -0.5 | -0.4 |
| Class D | -0.6 | -0.4 | 0.0 | -0.5 | -0.4 | -0.3 |
| Class E | | | | | | |
| All | -0.6 | -0.7 | -0.6 | -0.7 | -0.5 | -0.5 |
| Enc Time[%] | 105% | | | 99% | | |
| Dec Time[%] | 104% | | | 109% | | |

Comments

- ❑ If additional DCT matrices or quantization/inverse quantization matrices are introduced, our compression gains would increase
 - ❑ But this will require implementation of additional DCT
 - ❑ Storage of Additional Quantization tables only for I-slices

- ❑ Any mode-dependent scanning scheme for transform coefficients used in conjunction with DCT/DST will improve system performance

- ❑ If a low-complexity fast DST is **directly** used at a block size without scaling, it will require 3 additional set of quantization and inverse quantization tables for I-slices at a particular block size
 - ❑ Storage will increase from 960 to $960 \times 4 = 3840$ elements !
 - ❑ So, we have implemented DST as matrix multiplication after proper scaling in this contribution.

Conclusions

- ❑ Proposed mode-dependent DCT/DST as the transform for Intra coding
- ❑ Requires only 1 additional DST matrix at a particular block size in addition to conventional TMuC based DCT.
- ❑ No increase in encoding/decoding times.
- ❑ No additional storage required for quantization tables
- ❑ Recommend to adopt mode-dependent DCT/DST at block sizes 4×4 and 8×8 as the transform for Intra coding in HM.



Thank You